

EXPRESS MAIL LABEL NO. EM443447485US

SHEET 1 OF 2

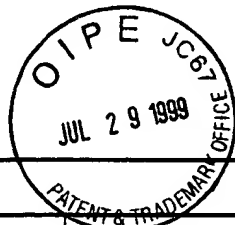
| FORM PTO - 1449 | | | | ATTORNEY DOCKET NO.: ICO-001 (4594/7) | | | | | |
|--|--|--|----------|---------------------------------------|-------------------------------|-----------|----------------------------|---------------|------------------|
| INFORMATION DISCLOSURE STATEMENT | | | | APPLICANT(S): Christopher N. Elsbree | | | | | |
| | | | | SERIAL NO.: Not yet assigned | | | | | |
| | | | | FILING DATE: March 12, 1999 | | | | | |
| | | | | GROUP: Not yet assigned | | | | | |
| U.S. PATENT DOCUMENTS | | | | | | | | | |
| EXAM. INIT. | | DOCUMENT NUMBER | DATE | NAME | CLASS | SUB CLASS | FILING DATE IF APPROPRIATE | | |
| TH | AA | 5,850,446 | 12/15/98 | Berger et al. | 380 | 24 | 06/17/96 | | |
| | AB | 5,835,914 | 11/10/98 | Brim | 707 | 206 | 02/18/97 | | |
| | AC | 5,828,840 | 10/27/98 | Cowan et al. | 395 | 200 | 08/06/96 | | |
| | AD | 5,828,674 | 10/27/98 | Proskauer | 371 | 22.1 | 09/16/97 | | |
| | AE | 5,818,447 | 10/06/98 | Wolf et al. | 345 | 335 | 06/06/96 | | |
| | AF | 5,815,657 | 09/29/98 | Williams et al. | 395 | 186 | 04/26/96 | | |
| | AG | 5,812,668 | 09/22/98 | Weber | 380 | 24 | 06/17/96 | | |
| TH | AH | 5,671,415 | 09/23/97 | Hossain | 395 | 701 | 06/01/94 | | |
| FOREIGN PATENT DOCUMENTS | | | | | | | | | |
| EXAM. INIT. | | DOCUMENT NUMBER | DATE | COUNTRY CODE | CLASS | SUB CLASS | FILING DATE | ABSTRACT ONLY | ENGLISH LANG Y/N |
| | | | | | | | | | |
| OTHER ART, JOURNAL ARTICLES, ETC. | | | | | | | | | |
| EXAM. INIT. | OTHER DOCUMENTS: (Including Author, Title, Date, Relevant Pages, Place of Publication) | | | | | | | | |
| TH | CA | Steve Robinson and Alex Krasilshchikov, ActiveX Magic: An ActiveX Control and DCOM Sample Using ATL, May 1997, downloaded 3/12/98 from http://www.microsoft.com/com/overview.htm , 11 pages | | | | | | | |
| | CB | Press Release, ICONICS GraphWorX32 Awarded Prestigious <i>Control Engineering</i> 1997 Editorss' Choice Award, February 27, 1998, downloaded 03/12/98 from http://www.iconics.com/CE97awrd.htm , 2 pages | | | | | | | |
| | CC | What is OPC?, downloaded 03/12/98 from http://www.iconics.com/opc/what_opc.htm , 1 page | | | | | | | |
| | CD | OPC ToolWorX, downloaded 03/12/98 from http://www.iconics.com/opc/toolw2.htm , 3 pages | | | | | | | |
| TH | CE | National Instruments, OPC - Frequently Asked Questions, downloaded 03/12/98 from http://www.natinst.com/automation/opc_faq.htm , 2 pages | | | | | | | |
| EXAMINER <i>Chabr</i> | | | | | DATE CONSIDERED <i>6/4/03</i> | | | | |

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| OTHER ART, JOURNAL ARTICLES, ETC. | | |
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| EXAM. INIT. | OTHER DOCUMENTS: (Including Author, Title, Date, Relevant Pages, Place of Publication) | |
| TH | CF | OPC Programmers' Connection, History of OPC, downloaded 03/12/98 from http://www.dspace.dial.pipex.com/town/estate/on50/history.shtml , 2 pages |
| | CG | Microsoft Corporation, COM Technologies Home Page, downloaded 03/12/98 from http://www.microsoft.com/com/comintro.htm , 1 page |
| | CH | Mark Edmond, Efforts Advance to Tie Shop-Floor Automation to Back-Office Systems, Start Magazine, Vol. 2 No. 1, January/February 1998, downloaded 02/24/99 from http://www.startmag.com/v2n1opc1.htm , 6 pages |
| | CI | Edward Bassett, Making the OPC Connection, Start Magazine Vol. 2 No. 4, July/August 1998, downloaded 02/24/99 from http://www.startmag.com/v2n4opcp002.htm , 3 pages |
| TH | CJ | Mark Edmund, 51 Companies were selected as The Hottest Companies in 1998 after months of deliberation by a panel of start's editors, Start Magazine Vol. 2 No. 4, July/August 1998, downloaded 02/24/99 from http://www.startmag.com/v2n4p030.htm#ICONICS , 23 pages |
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| EXAMINER | DATE CONSIDERED 6/4/93 | |

FORM PTO - 1449

INFORMATION DISCLOSURE STATEMENT



ATTORNEY DOCKET NO.: ICO-001 (4594/7)

APPLICANT(S): Christopher N. Elsbree

SERIAL NO.: 09/267,204

FILING DATE: March 12, 1999

GROUP: 2787

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Group 2700

U.S. PATENT DOCUMENTS

| EXAM. INIT. | | DOCUMENT NUMBER | DATE | NAME | CLASS | SUB CLASS | FILING DATE IF APPROPRIATE |
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| TH | AA | 5,762,912 | 03/10/98 | Krall, Jr. et al. | 364 | 550 | |
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FOREIGN PATENT DOCUMENTS

| EXAM. INIT. | | DOCUMENT NUMBER | DATE | COUNTRY CODE | CLASS | SUB CLASS | FILING DATE | ABSTRACT ONLY | ENGLISH LANG Y/N |
|----------------|----|--------------------|----------|-----------------|-------|--------------|----------------|------------------|---------------------|
| TH | BA | 07 762 273 A1 | 03/12/97 | EP | | | 09/04/96 | N | Y |
| TH | BB | 0 825 506 A2 | 02/25/98 | EP | | | 07/14/97 | N | Y |

OTHER ART, JOURNAL ARTICLES, ETC.

| EXAM. INIT. | OTHER DOCUMENTS: (Including Author, Title, Date, Relevant Pages, Place of Publication) | |
|----------------|--|---|
| TH | CA | International Search Report, PCT/US99/05534, 7 pages, July 6, 1999. |
| | CB | "ActiveX Technology Optimizes Process Visualization," by P. Wiedenbergh, Engineering and Automation, Vol. 20, January 1998, pgs. 36-37. |
| | CC | "Windows NT and 95 OSS, OPC, Activex, and RAD Tools Shape Course of OL Software, " by B. Anger, I & CS Industrial and Process Control Magazine, Vol. 69, November 1996, pgs. 49-54. |
| | CD | "How MFC Does Activex Connections," by Shepard et al., Dr. Dobbs Journal, Vol. 22, April 1997, pgs. 109-113. |
| TH | CE | "Wird OLE for Process Control (OPC) Ein Neuer Industriestandard ?", by J. Schmoll, Automatisierungstechnische Praxis-ATP, Vol. 39, May 1997, pgs. 14-17. |

EXAMINER

DATE CONSIDERED

6/4/03



SHEET 1 OF 1

SECOND SUPPLEMENTAL FORM PTO - 1449
INFORMATION DISCLOSURE STATEMENT

ATTORNEY DOCKET NO.: ICO-001 (4594/7)

APPLICANT: Elsbree

SERIAL NO.: 09/267,204

FILING DATE: March 12, 1999 GROUP: 2728

U.S. PATENT DOCUMENTS

| EXAM. INIT. | | DOCUMENT NUMBER | DATE | NAME | CLASS | SUB CLASS | FILING DATE IF APPROPRIATE |
|----------------|----|--------------------|----------|----------------|-------|--------------|-------------------------------|
| th | A1 | 5,652,908 | 07/29/97 | Douglas et al. | 395 | 800 | 06/07/95 |
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FOREIGN PATENT DOCUMENTS

| EXAM. INIT. | | DOCUMENT NUMBER | DATE | COUNTRY CODE | CLASS | SUB CLASS | FILING DATE | ABSTRACT ONLY | ENGLISH LANG (Y/N) |
|----------------|--|--------------------|------|-----------------|-------|--------------|----------------|------------------|--------------------------|
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OTHER ART, JOURNAL ARTICLES, ETC.

| EXAM. INIT. | OTHER DOCUMENTS: (Including Author, Title, Date, Relevant Pages, Place of Publication) | | | | | | | | |
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